Appl. Ser. No.: 10/756,544

U.S. Serial No.:

10/756,544

Filing Date:

01/12/2004

Applicant(s):

David H. Dicks, et al.

Title:

FITMENT FOR FLEXIBLE CONTAINER

Art Unit:

3754

Examiner:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Non-Fee Amendment Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In compliance with the provisions of 37 C.F.R. §1.56 and 37 C.F.R. §\$1.97-1.99, the Applicant is hereby making of record the enclosed PCT International Search Report and copies of cited references listed on the attached copy of PTO Form #1449. The paragraph(s) marked below are applicable to this Information Disclosure Statement.

- [ ] (1) The enclosed Information Disclosure Statement is being filed within three months of the filing date or within three months of the entry of the national stage of the above identified application. Accordingly, applicant(s) believe that no fee or certification is required.
- [ ] (2) Applicant(s) believe the enclosed Information Disclosure Statement is entitled to the benefit of 37 CFR §1.97(b)(3). Accordingly, applicant(s) believe that no fee or certification is required.

# PETITION UNDER 37 CFR §1.97(d)

[ ] (2) Pursuant to 37 CFR §1.97(d), applicant(s) hereby petition the Commissioner to consider the attached Information Disclosure Statement. Applicant(s) state that the

Appl. Ser. No.: 10/756,544

issue fee has not been paid and that a certification under 37 CFR §1.97(e) is provided herein, along with the petition fee of \$130.00 required under 37 CFR §1.17(i)(1).

## CERTIFICATION UNDER 37 CFR §1.97(e)(1)

[X] (3) The undersigned hereby certifies that each item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application mailed not more than three months prior to the filing of this statement.

#### CERTIFICATION UNDER 37 CFR §1.97(e)(2)

- [ ] (4) The undersigned hereby certifies that no item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual having a duty of disclosure as set forth in 37 CFR §1.56(c) more than three months prior to the filing of this statement.
- [ ] (5) The information disclosure fee of \$200.00 required by 37 CFR §I.17(p) is believed to be due and is enclosed herewith.

The filing of this Information Disclosure Statement is not a representation by the undersigned as to personal knowledge of the contents of every word or phrase of the material enclosed or that reliance on other suitably trained professionals has not been made.

If a search report of a searching agency is enclosed identifying the nature of the relevance of each document, such a designation is deemed to satisfy Rule 98(a)(3) even if in a foreign language, since the few terms of relevance therein are deemed of

Appl. Ser. No.: 10/756,544

universal cognizance. However, applicant does not necessarily adopt the position reflected by that report.

Respectfully submitted,

Date: 6/7/05

Robert R. Deleault, Esq. Reg. No. 39,165 Attorney for the Applicants 41 Brook Street Manchester, NH 03104

Tel. (603) 668-1971

Column Plana

### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Non-Fee Amendment, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on June 7, 2005:

Rosert R. Deleault

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE under the Paperworks Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Supstitute for form 1449/PTO

Sheet

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

| Complete if Known      |                |  |  |
|------------------------|----------------|--|--|
| Application Number     | 10/756,544     |  |  |
| Filing Date            | 01/12/2004     |  |  |
| First Named Inventor   | David H. Dicks |  |  |
| Art Unit               | 3754           |  |  |
| Examiner Name          |                |  |  |
| Attorney Docket Number |                |  |  |

|                       |              |  | U. S. PATENT                   | DOCUMENTS  |   |
|-----------------------|--------------|--|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No.1 | Document Number  Number-Kind Code <sup>2 (f known)</sup> | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       | 1            | <sup>US-</sup> 6302300 B1                                | 10-16-2001                     | Karl Bosch   |   |
|                       | 2            | <sup>US-</sup> 6460732 B1                                | 10-08-2002                     | Sten Drennow                                       |   |
|                       | 3            | <sup>US-</sup> 2003/0009126 A1                           | 01-09-2003                     | Zollinger et al.                                   |   |
|                       | 4            | <sup>US-</sup> 2001/0009258 A1                           | 07-26-2001                     | Takahiro Wakayama                                  |   |
|                       |              | US-  |                                |  |   |
|                       |              | US-  |                                |  |   |
|                       |              | ÜS-  |                                |  |   |
|                       |              | US-  | -                              |  |   |

|                    |              | FORE   | IGN PATENT DOCL     | IMENTS   |   |    |
|--------------------|--------------|--|---------------------|--|---|----|
| Examiner Initials* | Cite<br>No.1 | Foreign Patent Document  | Publication<br>Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages |    |
|                    |              | Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> ( <i>if known</i> ) | MM-DD-YYYY          |  | Or Relevant Figures Appear                        | T⁵ |
|                    | 5            | FR 1087930   | 03-01-1955          | Orsini   |   |    |
|                    | 6            | EP 0 905 045 A2  | 03-31-1999          | Asept Int'l AB                                     |   |    |
|                    | 7            | GB 1104359   | 02-28-1968          | Kathleen Henfre                                    |   |    |
|                    | 8            | DE 40 23 274 A1  | 01-23-1992          | Helga Schenk                                       |   |    |
|                    | 9            | DE 36 35 857 A1  | 05-05-1988          | Wilhelmstal-Wer                                    |   |    |
|                    |              |  |                     |  |   |    |

|           | <br> |            | , |
|-----------|------|------------|---|
| Examiner  |      | Date       |   |
| Signature |      | Considered |   |
|           |      |            |   |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.